



PATENT
ATTORNEY DOCKET NO. STEM1170-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Upshall et al.
Serial No.: 09/961,227
Filed: September 20, 2001
Title: METHOD OF TREATMENT OF TUMORS USING TRANSFORMING
GROWTH FACTOR-ALPHA

Art Unit: 1615
Examiner To be assigned

Commissioner for Patents
Washington, D.C. 20231

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INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. § 1.97, enclosed are references relating to the above-identified application. Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. For the convenience of the Examiner, these references are listed on the attached Form PTO-1449 and copies are enclosed herewith.

It is respectfully requested that these references be considered in the examination of this application and their consideration be made of written record in the application file.

CERTIFICATION UNDER 37 CFR §1.8

I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date, July 8, 2002 in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.

Jason Berry

Name of Person Mailing Paper

Signature Jason Berry

In the Application of
Upshall et al.
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No fee is deemed necessary in connection with the filing of this Information Disclosure Statement as the items of information contained in this statement were cited in a communication from a foreign patent office in a counterpart foreign application, the communication being dated April 8, 2002, which is not more than three months prior to the filing of this statement. However, if any fee is required, authorization is hereby given to charge the amount of any such fee, or credit any overpayment, to Deposit Account No. 50-1355.

Respectfully submitted,

Date: 7/8/02



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FORM PTO-1449
U.S. Department of Commerce Patent and
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Serial No.:
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SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT BY APPLICANT

Filing Date:
9/20/2001

Group Art Unit
1615

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JUL 15 2002

U.S. PATENT DOCUMENTS

EXAM. INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
AA	6,037,357	03/14/2000	Ming-Kuan Hu			

FOREIGN PATENT DOCUMENTS

EXAM. INITIALS	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION (YES/NO)

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages)

AB	Kihara and Pastan, "Small Chimeric Toxins Containing Only Transforming Growth Factor α and Domain III of <i>Pseudomonas</i> Exotoxin With Good Antitumor Activity in Mice," <i>Cancer Research</i> , Vol. 54, October 1, 1994, pgs. 5154-5159.
AC	Phillips et al., "Transforming Growth Factor α - <i>Pseudomonas</i> Exotoxin Fusion Protein (TGF- α -PE38) Treatment of Subcutaneous and Intracranial Human Glioma and Medulloblastoma Xenografts in Athymic Mice," <i>Cancer Research</i> , Vol. 54, February 15, 1994, pgs. 1008-1015.

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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form 1449

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